

JC685 U.S. PTO
TO/97/20Please type a plus sign (+) inside this box →

PTO/SB/05 (4/98)

Approved for use through 09/30/2000, OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))

Attorney Docket No. 503.39581X00

First Inventor or Application Identifier Tatehito USUI

Title See 1 in Addendum

Express Mail Label No.

S-18629 PRO

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. * Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Specification [Total Pages 68]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. Drawing(s) (35 U.S.C. 113) [Total Sheets 17]
4. Oath or Declaration [Total Pages 4]
- a. Newly executed (original or copy)
 - b. Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
 - i. DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

* NOTE FOR ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. Microfiche Computer Program (Appendix)
6. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
 - a. Computer Readable Copy
 - b. Paper Copy (identical to computer copy)
 - c. Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. Assignment Papers (cover sheet & document(s))
8. 37 C.F.R. § 3.73(b) Statement Power of
(when there is an assignee) Attorney
9. English Translation Document (if applicable)
10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS
Citations
11. Preliminary Amendment
12. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
- * Small Entity Statement(s) Statement filed in prior application
(PTO/SB/09-12) Status still proper and desired
13. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
14. Other: See 2 in Addendum

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

Continuation Divisional Continuation-in-part (CIP) of prior application No: _____ / _____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		020457 (Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> Correspondence address below	
Name					
Address					
City		State	Zip Code		
Country		Telephone	Fax		

Name (Print/Type)	Melvin Kraus,	Registration No. (Attorney/Agent)	22,466
Signature		Date	02-16-01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL

for FY 2000

Patent fees are subject to annual revision.

Small Entity payments must be supported by a small entity statement, otherwise large entity fees must be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$1,742.00)

Complete if Known

Application Number	
Filing Date	February 16, 2001
First Named Inventor	Tatehito USUI
Examiner Name	
Group / Art Unit	
Attorney Docket No.	503.39581X00

METHOD OF PAYMENT (check one)		FEE CALCULATION (continued)		
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:		3. ADDITIONAL FEES		
Deposit Account Number	01-2135	Large Entity	Small Entity	
Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid	
105 130	205 65	Surcharge - late filing fee or oath	0.00	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	0.00	
139 130	139 130	Non-English specification	0.00	
147 2,520	147 2,520	For filing a request for reexamination	0.00	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	0.00	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	0.00	
115 110	215 55	Extension for reply within first month	0.00	
116 380	216 190	Extension for reply within second month	0.00	
117 870	217 435	Extension for reply within third month	0.00	
118 1,360	218 680	Extension for reply within fourth month	0.00	
128 1,850	228 925	Extension for reply within fifth month	0.00	
119 300	219 150	Notice of Appeal	0.00	
120 300	220 150	Filing a brief in support of an appeal	0.00	
121 260	221 130	Request for oral hearing	0.00	
138 1,510	138 1,510	Petition to institute a public use proceeding	0.00	
140 110	240 55	Petition to revive - unavoidable	0.00	
141 1,210	241 605	Petition to revive - unintentional	0.00	
142 1,210	242 605	Utility issue fee (or reissue)	0.00	
143 430	243 215	Design issue fee	0.00	
144 580	244 290	Plant issue fee	0.00	
122 130	122 130	Petitions to the Commissioner	0.00	
123 50	123 50	Petitions related to provisional applications	0.00	
126 240	126 240	Submission of Information Disclosure Stmt	0.00	
581 40	581 40	Recording each patent assignment per property (times number of properties)	40.00	
146 690	246 345	Filing a submission after final rejection (37 CFR § 1.129(a))	0.00	
149 690	249 345	For each additional invention to be examined (37 CFR § 1.129(b))	0.00	
SUBTOTAL (1) (\$ 710.00)		Other fee (specify) _____		
2. EXTRA CLAIM FEES		Other fee (specify) _____		
Total Claims	29	Extra Claims	Fee from below	Fee Paid
Independent Claims	10	-20** = 9	X 18	= 162
Multiple Dependent		- 3** = 7	X 80	= 560
			270	270
**or number previously paid, if greater; For Reissues, see below				
Large Entity Small Entity				
Fee Code (\$)	Fee Code (\$)	Fee Description		
103 18	203 9	Claims in excess of 20		
102 78	202 39	Independent claims in excess of 3		
104 260	204 130	Multiple dependent claim, if not paid		
109 78	209 39	** Reissue independent claims over original patent		
110 18	210 9	** Reissue claims in excess of 20 and over original patent		
SUBTOTAL (2) (\$ 992.00)		SUBTOTAL (3) (\$ 40.00)		

SUBMITTED BY		Complete if applicable		
Name (Print/Type)	Melvin Kraus	Registration No. (Attorney/Agent)	22,466	Telephone 703-312-6600
Signature	<i>Melvin Kraus</i>	Date	02-16-01	

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Attachment to PTO/SB/05 (4/98) Utility Patent Application
Transmittal

1. PROCESS MONITORING METHODS IN A PLASMA PROCESSING APPARATUS, MONITORING UNITS, AND A SAMPLE PROCESSING METHOD USING THE MONITORING UNITS
2. - FIGS. 1-3,4A-4B,5-7,8A-8B,9-14,15A-15B,
- 16A-16B,17,18A-18B,19-25
- CREDIT CARD PAYMENT FORM